PTO/SB/05 (08-03)

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	60 CIP (1087-43 CIP II)					
First Inventor	Katherine S. Bowdish et al.					
Title	POLYPEPTIDES AND ANTIBODIES DERIVED FROM CHRONIC LYMPHOCYTIC LEUKEMIA CELLS AND USES THEREOF					
	FL 985 195 928 US					

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Mall Stop Patent Application **APPLICATION ELEMENTS** ADDRESS TO: Commissioner for Patents See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. 🔽 Specification [Total Pages Computer Readable Form (CRF) (preferred arrangement set forth below) - Descriptive title of the invention Specification Sequence Listing on: - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a computer program listing appendix Paper - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Statements verifying identity of above copies - Detailed Description **ACCOMPANYING APPLICATION PARTS** - Claim(s) - Abstract of the Disclosure Assignment Papers (cover sheet & document(s)) Drawing(s) (35 U.S.C. 113) [Total Sheets _ 37 CFR 3.73(b) Statement 10. Power of (when there is an assignee) Attorney 5. Oath or Declaration Total Sheets English Translation Document (if applicable) 11. a. Newly executed (original or copy) Information Disclosure Copies of IDS 12. Statement (IDS)/PTO-1449 Citations Copy from a prior application (37 CFR 1.63(d)) 13. Preliminary Amendment (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14. 🗸 (Should be specifically itemized) **DELETION OF INVENTOR(S)** 15. Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) (if foreign priority is claimed) name in the prior application, see 37 CFR 16. l Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. Other: Check in the amount of \$928.00 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) Continuation Divisional of prior application No.: .10/379,151 Prior application information: Examiner TBA Art Unit: TBA For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a pontion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS **Customer Number:** OR Correspondence address below Name Mark Farber, Esq. Alexion Pharmaceuticals, Inc. Address 352 Knotter Drive City Cheshire Zip Code Connecticut 06410 Country Telephone | (203) 272-2596 Fax USA (203) 271-8195 Name (Print/Type) Peter DeLuca Registration No. (Attorney/Agent) | 32,978 Signature Date December 15, 2003

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL 985 195 928 US addressed to: Mail Stop Pat nt Application.

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: December 15, 2003



PTO/SB/17 (10-03)

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3 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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		for	FY	200)4		

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 928.00

Complete if Known				
Application Number	Unassigned			
Filing Date	December 15, 2003			
First Named Inventor	Katherine S. Bowdish et al.			
Examiner Name	Unassigned			
Art Unit	Unassigned			
Attorney Docket No.	60 CIP (1087-43 CIP II)			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
Check Credit card Money Other None		3. ADDITIONAL FEES					
Deposit Account:			Small				
Deposit 50-2140				Fee (\$)	Fee Description	Fee Paid	
Account Number 50-2140	1051	130	2051	65	Surcharge - late filing fee or oath		
Deposit Account Carter, DeLuca, Farrell & Schmidt, LLP	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
Name The Director is authorized to: (check all that apply)		130	1053		Non-English specification		
Charge fee(s) indicated below Credit any overpayments	1812 2		1812	_,	For filing a request for ex parte reexamination		
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1	,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month		
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month		
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month		
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254 1	,480	2254	740	Extension for reply within fourth month		
1001 770 2001 385 Utility filing fee 770.00	1255 2	2,010	2255	1,005	Extension for reply within fifth month	\longrightarrow	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451 1	,510	1451	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 770.00	. 1452 	110	2452	55	Petition to revive - unavoidable		
	1453 1	1,330	2453	665	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,330	2501		Utility issue fee (or reissue)		
Extra Claims Fee Paid Total Claims 24 -20** = 4 X 18.00 = 72.00		480	2502		Design issue fee		
		640	2503		Plant issue fee		
		130	1460		Petitions to the Commissioner		
Large Entity Small Entity	1807	50	1807		Processing fee under 37 CFR 1.17(q)		
Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt		
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	8021	40	8021	1 40	Recording each patent assignment per property (times number of properties)		
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	9 385	Filing a submission after final rejection (37 CFR 1.129(a))		
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	("		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	, , ,		
		Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Reduc	ced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 0.00		

SUBMITTED BY	(Complete (if applicable))				
Name (Print/Type)	Peter Deluga	Registration No. (Attorney/Agent)	32,978	Telephone	(631) 501-5700
Signature	/ we wan			Date	December 15, 2003

CERTIFICATION UNDER 37 C.F.R. § 1:40.

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Dated: December 15, 2003